

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of

Zhongxin Ge et al.

Application No.: 10/594,432

Filing Date: September 26, 2006

Title: PEGYLATION AND  
HYDROXYLATION OF TRIMETALLIC  
NITRIDE ENDOHEDRAL  
METALLOFULLERENES

MAIL STOP MISSING PARTS

Confirmation No.: 2929

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In complete response to the Notice to File Missing Parts of Application filed Under  
37 C.F.R. § 1.53(b) dated September 25, 2007, enclosed please find:

☒ **a Combined Declaration and Power of Attorney signed by less than all of the inventor(s);**

☐ Note that the inventor identified on the concurrently filed Combined Declaration and Power of Attorney is different than listed on the application filing papers.  
<<OR>> Note that the inventors identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application papers.

11/20/2007 GFREY1 ☐ an Application Data Sheet <<OR>> a Supplemental Application Data Sheet;  
00000155 10594432

01 FC:2617 ☒ **the surcharge of ☒ \$ 65 ☐ \$ 130 as set forth in 37 C.F.R. § 1.16(f);**

☐ a Request for Refund;

☐ a Petition for Extension of Time;

☐ a verified English translation of the application, and the 130 fee as set forth in  
37 C.F.R. § 1.17(k);

☐ drawings for publication;

☐ an Information Disclosure Statement;

☐ a certified copy of the priority document <<OR>> certified copies of the priority documents;

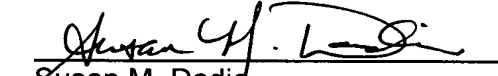
- ☒ **copy of Notice to File Missing Parts of Nonprovisional Application; and**
- ☒ **Other Documents Enclosed: A Petition for Filing Application By Less Than All The Inventors Pursuant to 37 C.F.R. § 1.47(a) with requisite fee of \$200.00 and a Statement of Facts Under 37 C.F.R. § 1.47(a).**
- ☒ **Small entity status is hereby claimed.**
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☒ **Charge \$ 265.00 to credit card for the fee due for Missing Parts and the fee for the enclosed Petition. Form PTO-2038 is attached.**
- ☒ **The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.**

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date November 16, 2007

By:

  
Susan M. Dadio  
Registration No. 40373

P.O. Box 1404  
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703 836 6620



AP16 Rec'd PCT/PTO 16 NOV 2007

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/594,432	Zhongxin GE	1034136-000035

INTERNATIONAL APPLICATION NO.
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PCT/US05/10219

I.A. FILING DATE	PRIORITY DATE
03/25/2005	03/26/2004

21839  
BUCHANAN, INGERSOLL & ROONEY PC  
POST OFFICE BOX 1404  
ALEXANDRIA, VA 22313-1404

CONFIRMATION NO. 2929

371 FORMALITIES LETTER



\*OC000000025981977\*

Date Mailed: 09/25/2007

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/26/2006
- Copy of the International Search Report filed on 09/26/2006
- Preliminary Amendments filed on 08/22/2007
- Information Disclosure Statements filed on 04/09/2007
- U.S. Basic National Fees filed on 09/26/2006
- Priority Documents filed on 09/26/2006

1034136 - 000035  
SMD/CLN

BUCHANAN INGERSOLL PC
SEP 26 2007
DOCKETED 9-27-07

MISSING Parts Due  
11/25/07

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/594,432	PCT/US05/10219	1034136-000035